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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/783,809	02/20/2004	Geoffrey Benjamin Allen	14334.1006

Ronald Abramson  
 Hughes Hubbard & Reed LLP  
 One Battery Park Plaza  
 New York, NY 10004-1482



**CONFIRMATION NO. 7821**  
**FORMALITIES LETTER**



Date Mailed: 07/26/2004

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that they contain non-ASCII files. A new duplicate set of compact discs with only ASCII files is required. Any replacement compact disc submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and non-ASCII files are marked on the directory listing.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

*mduong*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEET TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 65.00)

**Complete if Known**

Application Number	10/783,809
Filing Date	February 20, 2004
First Named Inventor	Allen et al.
Examiner Name	TBD
Art Unit	2173
Attorney Docket No.	14334.1006

**METHOD OF PAYMENT (check all that apply)**
 Check    Credit card    Money Order    Other    None
 Deposit Account:

Deposit Account Number	08-3264
Deposit Account Name	Hughes Hubbard & Reed

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below    Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>(\$)</b>	

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	X	=
			-3** =	X	=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$)</b>

\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	65
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid		<b>SUBTOTAL (3)</b> (\$ 65	

**SUBMITTED BY**

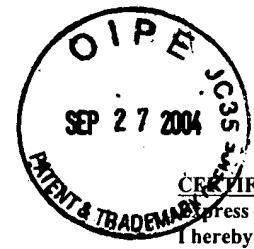
(Complete if applicable)

Name (Print/Type)	Ronald Abramson	Registration No. (Attorney/Agent)	34,762	Telephone	212-837-6000
Signature		Date	9-27-04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**CERTIFICATE OF MAILING**

Express Mail No.: EU448545232US

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as express mail in an envelope addressed to Mail Stop MISSING PARTS, Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450, on this date, September 27, 2004.

Jason Liu  
Name (print)

Signature

9/27/04  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Allen et al.

Examiner: TBD

Serial No: 10/783,809

Group Art Unit: 2173

Filed: February 20, 2004

File Ref. No.: 14334.1006

For: METHOD FOR CAPTURING, ENCODING, PACKAGING, AND DISTRIBUTING MULTIMEDIA PRESENTATIONS

TO: Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts dated July 26, 2004 for the above-mentioned application, Applicant submits herewith a fee transmittal form authorizing a charge of the required fee of \$65 to Hughes Hubbard & Reed Deposit Account No. 08-3264, a signed Declaration under 37 C.F.R. § 1.63, and seven signed Powers of Attorney. Applicant also submits two substitute compact discs, each containing the file ApresoSetup.msi, reformatted in ASCII-readable format. The compact discs are identical, no new matter as been added. A transmittal letter for compact disc submission as required under 37 C.F.R. 1.52(e) is also enclosed. Applicant authorizes any deficiency in payment to be charged to the deposit account.

Dated: September 27, 2004

Respectfully submitted,



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Ronald Abramson  
Registration No. 34,762

HUGHES HUBBARD & REED LLP  
One Battery Park Plaza  
New York, New York 10004-1482  
(212) 837-6000



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Allen et al.

Examiner: TBD

Serial No: 10/783,809

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File Ref. No.: 14334.1006

For: METHOD FOR CAPTURING, ENCODING, PACKAGING, AND DISTRIBUTING  
MULTIMEDIA PRESENTATIONS

TO: Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Transmittal Letter for Compact Disc Submission Under 37 C.F.R. 1.52(e)**

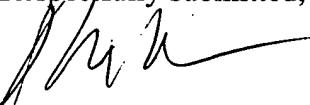
Sir:

Applicant submits herewith two (2) substitute compact disc copies for a computer program listing, submitted as a computer program listing appendix to the above-mentioned U.S. patent application. The two compact discs are identical. The machine format for both compact discs is IBM-PC, compatible with Windows operating system. The file contained in each compact disc is as follows:

File Name	Date of Creation	Size (Bytes)
ApresoSetup.msi	September 21, 2004	13,734,912

Dated: September 27, 2004

Respectfully submitted,



Ronald Abramson  
Registration No. 34,762

HUGHES HUBBARD & REED LLP  
One Battery Park Plaza  
New York, New York 10004-1482  
(212) 837-6000